## Introductory sub-table (I)(a)

Name of the Company: PRABHAT SECURITIES LIMITED Scrip Code, Name of the scrip, class of security: Equity Shares	SECURITIES LIMITED s of security: Equity Shares		
Quarter ended: 01/07/2013 - 30/09/2013	013		
Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Hold his promoter/promoter group			
Held by public			
Total - D		0	
Outstanding convertible securities:-No. of outstanding securities	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % or total no. or shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group			
Held by public			
Total - E		0	
		As a % of total no.	As a % of total no. of shares of the company, assuming full
Warrants:-	No. of warrants	of warrants	conversion of warrants
Held by promoter/promoter group			
Held by public			
Total - F		0	
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	10000070	0 100	CURITIES
COLLAGINDIE SECULINES	7000001		

(6)		-	(B)				d-11	2	d	c	6	р	2			(e-ii)	(c-i)	(e)	(d)	(c)	(b)		(a)	-	(A)	0		ory	Cates	
Financial Institutions Banks	Mutual Funds/UTI	Institutions	Public shareholding	Total Shareholding of Promoter and	Control of the Control	Sub-Total(A)(2)			Any Others(Specify)	Institutions	Bodies Corporate	Foreign Individuals)	Foreign	Sub Total(A)(1)				Any Others(Specify)	Financial Institutions/ Banks	Bodies Corporate	Central Government/ State Government(s)		Individuals/ Hindu Undivided Family	Indian	Shareholding of Promoter and Promoter	(II)		Shareholder	Categ Category of	
				15										15						3		12				(II)		Shareholde rs	Number of	
SE	101	11/1/15		615000										615000						212000		403000				(IV)			Total	Ta
12 September 1		1	TIT	0										0	*					0		0				3		shares held in dematerialized form	Number of	Table (I)(a)
0.00	0.00			61.50	0.00		0.00	0.00	0.00	0.00	0.00	0.00		61.50		0.00	0.00	0.00	0.00	21.20	000	40,30				of(A+B) <sup>1</sup>	Asa	percenta	Total shar	of a second
0.00	0.00			61.50	0.00		0.00	0.00	0.00	0.00	0.00	0.00		61.50		0.00	0.00	0.00	000	21.20	000	40.30				of (A+B+C)	Asa	percentage of total number of shares	Total shareholding as a	
				0	o									0								0		ā.		shares	Number of	enci	Sharae Plad	
				0.00					***					0.00								0,00				OOI *CAINUILY -CXI	As a percentage	encumbered	and or otherwise	

		2	-	3		(B)		(c-vii)	(c-vi)	(C-V)	(c-iv)	(c-III)	(0-II)	(c-i)	(c)		п	-	(0)	(a)	B 2		(0-11)	1	(h)	(8)	3	(6)	(d)	(6)
GRAND TOTAL (A)+(B)+(C)	Sub-Total (C)	Public	Promoter and Promoter Group	Shares held by Custodians and against which Depository Receipts have been issued	TOTAL (A)+(B)	Total Public Shareholding (B)= (B)(1)+(B)(2)	Sub-Total (B)(2)	Qualified Foreign Investor-Corporate		Clearing Members	Hindu Undivided Family	Intermedianry/Other Depository A/c	Corporate Bodies - OCB	Clearing House/Public Trust	N.R.I.(REPT & NON-REPT)	snaire cupital in excess of Ks. 1 lakh.	ii. Individual shareholders holding nominal	holding nominal share capital up to Rs 1	Individuals Individual characterist	Bodies Corporate	Non-institutions .	Sub-Total (B)(1)			Any Other (specify)	Foreign Venture Capital Investors	Foreign Institutional Investors	Insurance Companies	Venture Capital Funds	Central Government/ State Government(s)
532	0				532	517	517									10		507		0		0								
1000007	0				1000007	385007	385007									243600		141407		0		0								
0	0				0	0	0													0		0								
					100.00	38.50	38.50			0.00	0.00	0.00	0.00	0.00	0.00	24.36		14.14	0.00	0.00		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
100.00	0	0.00	0,00		100,00	38.50	38.50			0.00	0.00	0.00	0.00	0.00	0.00	24.36		14.14	0.00	0.00		0.00	0.00	0.00	0,00	0.00	0,00	0.00	0.00	0.00
	0										0					0		0		0										
0.00																														



9	00	7	o	Ŋ	4	ω	2	 Sr.No
				<b>4</b>				Sr.No Notes
								100



## (I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

TOTAL	15 PRABH	14 PRABH	13 SANTO	_		10 NIDHI S	9 SANTO		_	_	5 VIMAL		3 ANIL K	2 RAJESI	1 RITIKA	(0)	, and the second	
	PRABHAT FINANCIAL SERVICES LTD	14 PRABHAT FUNDS AND HOLDING PVT LTD 100000	SANTOSH HOLDINGS PVT LTD	KALI CHARAN SHARMA	RADHIKA SHARMA	NIDHI SHARMA	SANTOSH DEVI SHARMA	IARMA	G. L. SHARMA HUF	VIMAL KUMAR SHARMA HUF	VIMAL KUMAR SHARMA	ASHOK KUMAR SHARMA	ANIL KUMAR SHARMA	RAJESH SHARMA	RITIKA SHARMA	(ii)		
615000	12000	100000	100000	50500	15000	20000	15000	15000	20000	20000	100000	49500	20000	39000	39000	(III)	Number of shares held	
61.50	1.20	10.00	10.00	5.05	1.50	2.00	1.50	1.50	2.00	2.00	10.00	4.95	2.00	3.90	3.90	(N)	As a % of grand total (A) +(B) +(C)	
•																3	N <sub>o</sub> .	
0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	(VI)=(V)/(III)*100	As a percentage	
0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0,00	(MI)	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	
				,												(IIIV)	Number of warrant s held	
																(xn)	As a % total t number of warrant s of the same class	
0																8	seco Nu	Come
											24					(X)	mber As a % of the eld same	ani antibla
												1				(XII)	share capital	(including and arthing

(\*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011



(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

TOTAL													91.10
F		1	10 MUKESH SHARMA	9 OM PRAKASH SHARMA	8 MANOJ KUMAR AGARWAL	7 ANIL KUMAR JAIN	6 BINOD KUMAR SHARMA	5 RAJ KUMAR AGARWAL	4 AMAR RATHI	3 RAJENDRA PRASAD SHARMA	2 VINOD KUMAR LALPURIA	I AWDESH LALJI MISHRA	value of the shareholder
243600		700001	15000	15100	15100	15100	15100	28100	30100	32000	36000	42000	Number of shares held
24.36		1,,0										4.2	percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
0													Number of warrant s held
													Details of warrants  limber   As a %   total   warrant   warrants   eld   of   the same   class
													Details of convertible securities  Number % w.r.t of total convertibl number of convertible securities held of the same class
0													Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

TOTAL	10	9 8	7 6 5	4	3 2	1	Sr. No.
							Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them
0							of shares
0.00							Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
0							\$ Z
							Details of warrants  Securities arrants warrants of securities the same class
							Details of securities held
EN BIT							Details of convertible securities  umber of w.r.t total ecurities number of convertible securities of the same class
							(including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital

(I)(d) Statement showing details of locked-in shares

9 8	9 8	9 &	∞		7	6	5	4	3	2	1	Sr. No. Name of the shareholder
												the shareholder
												Numb
												Number of locked-in shares
	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
												PROMOTER / PROMOTER GROUP/ PUBLIC



(II)(a) Statement showing details of Depository Receipts (DRs)

TATOT		9	8	7	6	5	4	w	. 2	-	Sr. No.
											Sr. No. Type of outstanding DR (ADRs, GDRs, SDRs, etc.)
0									*		Number of outstanding DRs
0											Number of shares underlying outstanding DRs
0.00		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	Number of shares  Underlying outstanding DRs percentage of total number of shares  Shares underlying outstanding DRs percentage of total number of shares  (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above



(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

TOTAL		9	8	7	6	5	4	3	2	1	Sr. No.
											Sr. No. Name of the DR Holder
											Type of outstanding DR Number (ADRs, GDRs, SDRs, underlyietc.)
0	+										Number of shares underlying outstanding DRs
0.00		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	Number of shares  Underlying outstanding percentage of total number of shares {i.e.,  ORS  Statement at para (I)(a) above}

