## Introductory sub-table (I)(a)

Name of the Company: PRABHAT SECURITIES LIMITED	SECURITIES LIMITED		
Scrip Code, Name of the scrip, class of security: Equity Shares	ss of security: Equity Shares		
Quarter ended: 01/07/2014 - 30/09/2014	2014		
Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group			
Held by public			0
rieid by public			0
Total - D		0	0
Outstanding convertible securities:- No. of outstanding securities	. No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group			0
Held by public			0
Total - E		0	0
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group			
Held by public			0
Total - F		0	0
rotal paid-up capital of the company, assuming full conversion of warrants and convertible securities	10000	884	
convertible securities	10000070	O PRAR! 100	

(b)	(a)	-	(B)				4	d. c	2 6	0		to	2		(e-II)	(0-1)	(e)	(d)	(c)	(6)		(a)	_	(A)	(3)		ory code	
Financial Institutions 'Banks	Mutual Funds/UTI	Institutions	Public shareholding	Total Shareholding of Promoter and	Sub Total(A)(2)			any omes(specify)	Any Others (Specify)	Bodies Corporate	Foreign Individuals)	Individuals (Non-Residents Individuals/	Foreign	Sub Total(A)(1)			Any Others(Specify)	Financial Institutions/ Banks	Bodies Corporate	Central Government/ State Government(s)		Individuals/ Hindu Undivided Family	Indian	Shareholding of Promoter and Promoter	(II)		Shareholder	
				15	0									15					3		12				(III)		Number of Shareholde rs	
	121	1189	1	615000	0									615000					212000		403000				(IV)		Total number of shares	Tal
Z K10	50	100	BHATC	0	0									0					0		0			0.00	(3)		Number of shares held in dematerialized form	Table (I)(a)
000	0.00			61.50	0.00	0.00	0.00	0.00	0.00	0.00	0.00			61.50	0.00	0.00	0.00	0.00	21.20	0.00	40.30			0.000	(VI)	As a percentage of(A+B) <sup>1</sup>	Total shar percenta number	
000	0.00			61.50	0.00	0,00	0,00	0.00	0.00	0.00	0.00			61.50	0.00	0.00	0.00	0.00	21.20	0.00	40.30				(VII)	As a percentage of (A+B+C)	Total shareholding as a percentage of total number of shares	
				0	0									0							0				(VIII)	Number of shares	Shares Pled ence	
				0,00										0.00							0.00		**		(IX)=(VIII)/(IV)*100	As a percentage	Shares Pledged or otherwise encumbered	

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		2	-	(C)		(B)	P	(c-vii)	(c-vi)	(c-v)	(c-iv)	(c-iii)	(c-ii)	(c-i)	(c)	=	-	(b)	(a)	B 2		(n-n)	(h-i)	(h)	(8)	3	0	(d)	3
GRAND TOTAL (A)+(B)+(C)	Sub-Total (C)	Public	Promoter and Promoter Group	Shares held by Custodians and against which Depository Receipts have been issued	TOTAL (A)+(B)	Total Public Shareholding (B)= (B)(1)+(B)(2)	Sub-Total (B)(2)		_	Clearing Members	_	-		Clearing House/Public Trust	N.R.I.(REPT & NON-REPT)	Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	Individuals +i, Individual shareholders holding nominal share capital up to Rs 1 lakh	Individuals	Bodies Corporate	Non-institutions	Sub-Lotal (BXI)			Any Other (specify)	Foreign Venture Capital Investors	Foreign Institutional Investors	Insurance Companies	Venture Capital Funds	
522	0				522	507	507		Section 1							12	495		0		0								
1000007	0				1000007	385007	385007									324600	60407		0		0								
0	0				0	0	0												0		0							+	
					100.00	38.50	38.50			0.00	0.00	0.00	0.00	0.00	0.00	32.46	6.04	0.00	0.00		0.00	0.00	0.00	0.00	0,00	0.00	0.00	0.00	0.00
100.00	0	0.00	0.00		100,00	38.50	38.50			0.00	0.00	0.00	0.00	0.00	0.00	32.46	6.04	0.00	0.00		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0,00
0	0										0					0	0		0										
0.00																													



## (I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

	0			0	0.00	0.00	1	61.50	615000	AL	TOTAL
					0.00	0.00		1.19	12000	15 PRABHAT FINANCIAL SERVICES LTD	15
				800	0.00	0.00		9.99	100000	14 PRABHAT FUNDS AND HOLDING PVT LTD 1000000	14
					0.00	0.00		9.99	100000	SANTOSH HOLDINGS PVT LTD	13
					0.00	0.00		5.04	50500	KALI CHARAN SHARMA	
					0.00	0.00		1.50	15000	RADHIKA SHARMA	=
					0.00	0.00		2.00	20000	NIDHI SHARMA	10
					0.00	0.00		1.50	15000	SANTOSH DEVI SHARMA	9
					0.00	0.00		1.50	15000	G. L. SHARMA	00
					0.00	0.00		2.00	20000	G. L. SHARMA HUF	7
					0.00	0.00		2.00	20000	VIMAL KUMAR SHARMA HUF	6
					0.00	0.00		10.00	100000	VIMAL KUMAR SHARMA	S
	1				0.00	0.00		4.95	49500	ASHOK KUMAR SHARMA	4
					0.00	0.00		2.00	20000	ANIL KUMAR SHARMA	w
					0.00	0.00		0.94	39000	RAJESH SHARMA	2
					0.00	0.00		0.94	39000	RITIKA SHARMA	-
(xii)	(xi)	8	(XI)	(MIN)	an	(VI)=(V)/(III)*100	3	(NI)	(III)	(iii)	(1)
share capital	r As a % total i number of e converti ble securitie s of the same	Number of converti ble securities s held	As a % total number of warrant s of the same class	Number of warrant s held	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	As a percentage	Z.	As a % of grand total (A)+(B)+(C)	Number of shares held		No.
Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted	Details of convertible securities		Details of warrants	Details o		Encumbered shares (*)		Details of Shares held	Details	Name of the shareholder	Sr.

(\*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

or. No.		-	2	w	4	S	6	7	8	9	10	11	12		TOTAL
Name of the snareholder		AWDESH LALJI MISHRA	VINOD KUMAR LALPURIA	RAJENDRA PRASAD SHARMA	AMAR RATHI	RAJ KUMAR AGARWAL	BINOD KUMAR SHARMA	ANIL KUMAR JAIN	MANOJ KUMAR AGARWAL	OM PRAKASH SHARMA	MUKESH SHARMA	UMAR FARUQUE	SANTOSH KUMAR SINGH		
of shares held		42000	36000	32000	30100	28100	15100	15100	15100	15100	15000	45000	36000		324600
percentage of total number of shares {i.e., Grand Total (A)+(B)+(C)	indicated in Statement at para (I)(a) above}	4.2			3.01		1.51	1.51	1.51	1.51	1.5	4.50			
	Number of warrant s held	2	6	2	_	_	_	_	_	_	5	)	0		
Details of warrants	As a % total number of warrants of the same class														0
															0
securities	Number % w.r.t of total convertibl number of e convertible securities securities held the same class														
(including underlying shares assuming full conversion of	warrants and convertible securities) as a % of diluted share capital														0

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

V. No.		1	2	S	4	5	6	7	8	9	10		TOTAL
Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them													
Number of shares													0
Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated	in Statement at para (I)(a) above}												0.00
	Number of warrants												0
Details of warrants	As a % numbe warran the same c												
Details of	total Number of r of convertible ts of securities held lass												
Details of convertible securities	% w.r.t total number of convertible securities of the same class												
Total shares (including underlying shares assuming full conversion of	warrants and convertible securities) as a % of diluted share capital												



## (I)(d) Statement showing details of locked-in shares

Sr. No. Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total
		(A)+(B)+(C) indicated in Statement at para (I)(a)
	*	above}
		0.00
2		0.00
3		0.00
4		0.00
5		0.00
6		0.00
7		0.00
8		0.00
9		0.00
		0.00
		0.00
TOTAL	0	0.00



(II)(a) Statement showing details of Depository Receipts (DRs)

TOTAL		9	8	7	6	5	4	3	2	1	Sr. No.
									2		Sr. No. Type of outstanding DR (ADRs, GDRs, SDRs, etc.)
0											Number of outstanding DRs
0											Number of shares underlying outstanding DRs
0.00		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	Number of shares  Shares underlying outstanding DRs percentage of total number of shares  {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}